



CERTIFICATE OF MAILING (37 CFR 1.8(A))

2ed or \$ #3

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date:

27 April 2001

Laura J. Kelly

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NUMBER 09/740,051	FILING DATE 18 DEC 2000	FIRST NAMED INVENTOR FLICKINGER	ATTY. DKT. NO. T900-11
TITLE E-REGISTRAR		ART UNIT 2161	EXAMINER UNKNOWN

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL

Enclosed for filing are the following papers:

- ☒ Fee Transmittal Form ☐ Check for \$  
☐ Amendment / Response ☐ After Final  
☐ Petition for Extension of Time  
☐ Petition to Commissioner  
☐ Information Disclosure Statement ☐ Form PTO-1449  
☒ Notice to File Missing Parts of Application/Incomplete Application  
☐ Express Mail Certificate  
☒ Applicant Claims Small Entity Status. See 37 CFR 1.27.  
☒ Other: Assignment and Cover Sheet (4pp.)

Remarks: The above-noted documents and fees are being transmitted herewith in response to the Notice to File Missing Parts of Non-Provisional Application mailed March 5, 2001.

Dated:

4/27/01

Technology, Patents and Licensing, Inc.  
340 North Broad Street  
Doylestown, PA 18901  
(215) 340-6900

Signature

Douglas J. Ryder, Reg. No. 43,073



27833

PATENT, TRADEMARK OFFICE



PTO/SB/17 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 460.00)

## Complete if Known

Application Number	09/740,051
Filing Date	18 DECEMBER 2000
First Named Inventor	FLICKINGER
Examiner Name	UNKNOWN
Group Art Unit	2161
Attorney Docket No.	T900-11

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 501487
- Deposit Account Name: Technology, Patents and Licensing, Inc.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	0.00
107	490	207	245	Plant filing fee	0.00
108	710	208	355	Reissue filing fee	0.00
114	150	214	75	Provisional filing fee	0.00

SUBTOTAL (1) (\$ 355.00)

### 2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid

Total Claims: 18 - 20\*\* = 0 X 9.00 = 0.00

Independent Claims: 3 - 3\*\* = 0 X 40.00 = 0.00

Multiple Dependent: 135.00 = 0.00

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	0.00
139	130	139	130	Non-English specification	0.00
147	2,520	147	2,520	For filing a request for ex parte reexamination	0.00
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	0.00
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	0.00
115	110	215	55	Extension for reply within first month	0.00
116	390	216	195	Extension for reply within second month	0.00
117	890	217	445	Extension for reply within third month	0.00
118	1,390	218	695	Extension for reply within fourth month	0.00
128	1,890	228	945	Extension for reply within fifth month	0.00
119	310	219	155	Notice of Appeal	0.00
120	310	220	155	Filing a brief in support of an appeal	0.00
121	270	221	135	Request for oral hearing	0.00
138	1,510	138	1,510	Petition to institute a public use proceeding	0.00
140	110	240	55	Petition to revive - unavoidable	0.00
141	1,240	241	620	Petition to revive - unintentional	0.00
142	1,240	242	620	Utility issue fee (or reissue)	0.00
143	440	243	220	Design issue fee	0.00
144	600	244	300	Plant issue fee	0.00
122	130	122	130	Petitions to the Commissioner	0.00
123	50	123	50	Processing fee under 37 CFR 1.17(q)	0.00
126	180	126	180	Submission of Information Disclosure Stmt	0.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
179	710	279	355	Request for Continued Examination (RCE)	0.00
169	900	169	900	Request for expedited examination of a design application	0.00
Other fee (specify)					0.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 105.00)

## SUBMITTED BY

Name (Print/Type) Douglas A. Ryder

Registration No. (Attorney/Agent) 43,073

## Complete (if applicable)

Telephone (215) 340-6900

Signature

Date 4/27/01

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/740,051	12/18/2000	Gregory C. Flickinger	T900-11

Technology, Patents and Licensing, Inc.  
340 North Broad Street  
Doylestown, PA 18901



CONFIRMATION NO. 4809

## FORMALITIES LETTER



\*OC00000005822777\*

Date Mailed: 03/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/04/2001 HTECKLU1 00000054 501487 09740051

01 FC:201 355.00 CH  
02 FC:205 65.00 CH